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MISSING PARTS  
Examining Group 1617  
Patent Application  
Docket No. UTR-104C1  
Serial No. 10/017,568

# 3  
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Receipt

*Frank C. Eidschenk*

Frank C. Eidschenk, Ph.D., Patent Attorney



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : (Unassigned)  
Art Unit : 1617  
Applicants : Michael B. Zemel, Hang Shi, Paula C. Zemel  
Serial No. : 10/017,568  
Filed : December 14, 2001  
Confirm. No. : 9941  
For : Materials and Methods for the Treatment or Prevention of Obesity

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

A Petition and fee for a two-month Extension of Time through and including July 20, 2002, accompanies this Response.

The above-referenced patent application was filed with an unsigned Declaration (37 C.F.R. § 1.63) and Power of Attorney form. Transmitted herewith is a fully executed Declaration (37 C.F.R. § 1.63) and Power of Attorney form for the subject application. A Notice to File Missing Parts of Application was received from the Patent and Trademark Office, and a copy of that Notice is attached hereto.

Please charge the **small entity** surcharge of \$65.00 to Deposit Account No. 19-0065. The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. 19-0065. Two copies of this transmittal letter are enclosed.

Respectfully submitted,



Frank C. Eisenschenk, Ph.D.

Patent Attorney

Registration No. 45,332

Phone No.: 352-375-8100

Fax No.: 352-372-5800

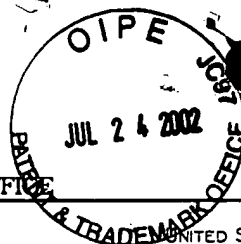
Address: Saliwanchik, Lloyd & Saliwanchik  
A Professional Association  
2421 NW 41st Street, Suite A-1  
Gainesville, FL 32606-6669

FCE/jaj

Enclosures: Petition for Two-Month Extension of Time (in duplicate)  
Extra Copy of this Transmittal Letter  
Response Copy of Notice to File Missing Parts  
Executed Declarations and Powers of Attorney (9 pages)  
Redlined Copy of March 20, 2002 Filing Receipt  
Return Receipt Post Card



## UNITED STATES PATENT AND TRADEMARK OFFICE



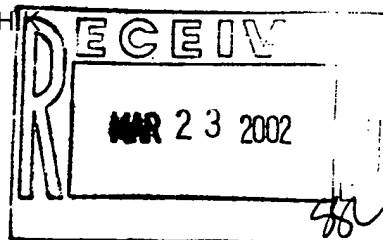
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/017,568	12/14/2001	1617	1496	UTR-104C1	12	34	9

CONFIRMATION NO. 9941

23557

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A PROFESSIONAL ASSOCIATION  
2421 N.W. 41ST STREET  
SUITE A-1  
GAINESVILLE, FL 326066669



## FILING RECEIPT



\*OC000000007681880\*

Date Mailed: 03/20/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael B. Zemel, Knoxville, TN;  
Hang Shi, Knoxville, TN;  
Paula C. Zemel, Knoxville, TN;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/654,357 09/01/2000  
WHICH IS A CIP OF PCT/US01/27432 09/04/2001

## Foreign Applications

AND

If Required, Foreign Filing License Granted 03/20/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Materials and methods for the treatment or prevention of obesity

## Preliminary Class

514



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/017,568	12/14/2001	Michael B. Zemel	UTR-104C1

23557  
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GAINESVILLE, FL 326066669



CONFIRMATION NO. 9941

## FORMALITIES LETTER



\*OC000000007681881\*

Date Mailed: 03/20/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/29/2002 HBERHE 00000019 190065 10017568  
01 FC:105 130.00 CH